



(19)

Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 735 898 B1

(12)

## EUROPEAN PATENT SPECIFICATION

(45) Date of publication and mention  
of the grant of the patent:

10.03.1999 Bulletin 1999/10

(21) Application number: 95904511.3

(22) Date of filing: 20.12.1994

(51) Int. Cl.<sup>6</sup>: A61K 39/39

(86) International application number:  
PCT/EP94/04246

(87) International publication number:  
WO 95/17210 (29.06.1995 Gazette 1995/27)

### (54) VACCINES

IMPFSTOFFE

VACCINS

(84) Designated Contracting States:

AT BE CH DE DK ES FR GB GR IE IT LI LU MC NL  
PT SE

Designated Extension States:

SI

(30) Priority: 23.12.1993 GB 9326253

(43) Date of publication of application:  
09.10.1996 Bulletin 1996/41

(60) Divisional application:  
98201308.8 / 0 868 918

(73) Proprietor:

SMITHKLINE BEECHAM BIOLOGICALS S.A.  
1330 Rixensart (BE)

(72) Inventors:

- MOMIN, P.M.,  
SmithKline Beecham Bio.(S.A.)  
B-1330 Rixensart (BE)
- GARCON, N. Marie-J.,  
SmithKline Beecham Bio.(S.A.)  
B-1330 Rixensart (BE)

(74) Representative:

Dalton, Marcus Jonathan William  
SmithKline Beecham plc  
Corporate Intellectual Property,  
Two New Horizons Court  
Brentford, Middlesex TW8 9EP (GB)

(56) References cited:

EP-A- 0 315 153	EP-A- 0 382 271
EP-A- 0 399 843	EP-B- 0 135 376
EP-B- 0 576 478	EP-B- 0 671 948
EP-B- 0 689 454	WO-A-88/09336
WO-A-92/16556	WO-A-94/00153
WO-A-94/21292	

- Infection & Immunity, vol.38(1), p.312-314, (1981)
- Reviews of Infectious Diseases, vol.2(3), p.370-382, (1980)

### Remarks:

The file contains technical information submitted  
after the application was filed and not included in  
this specification

EP 0 735 898 B1

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

**Description**

[0001] The present invention relates to novel vaccine formulations, to methods of their production and to the manufacture of vaccines. In particular, the present invention relates to an oil in water emulsion. Such emulsions comprise tocopherol, squalene, Tween 80, Span 85 and Lecithin and have useful adjuvant properties. Vaccines containing QS21, an Hplc purified non-toxic fraction derived from the bark of Quillaja Saponaria Molina, and/or 3 De-O-acylated monophosphoryl lipid A (3 D-MPL), together with such oil in water emulsions also form part of the invention.

[0002] 3 De-O-acylated monophosphoryl lipid A is known from GB2220 211 (Ribi). Chemically it is a mixture of 3 De-O-acylated monophosphoryl lipid A with 4, 5 or 6 acylated chains and is manufactured by Ribi Immunochem Montana.

10 A preferred form of 3 De-O-acylated monophosphoryl lipid A is disclosed in International Patent Application No. 94/21292.

[0003] QS21 is a Hplc purified non toxic fraction of a saponin from the bark of the South American tree Quillaja Saponaria Molina and its method of its production is disclosed (as QA21) in US patent No. 5,057,540.

15 [0004] Oil in water emulsions per se are known in the art, and have been suggested to be useful as adjuvant compositions (EPO 399843).

[0005] WO 92/16556 discloses adjuvant compositions comprising 3-D MPL in combination with an emulsion containing squalene, Tween and Pluronic®.

20 [0006] The present invention is based on the surprising discovery that an oil in water emulsion of the present invention, which unlike emulsions of the prior art contain tocopherol, as such or in combination with QS21 and/or 3 D-MPL enhance immune responses to a given antigen. Such enhancement available affords better immunological responses than hitherto before.

25 [0007] Additionally the oil in water emulsions of the present invention when formulated with 3 D-MPL and QS21 are preferential stimulators of IgG2a production and TH1 cell response. This is advantageous, because of the known implication of TH<sub>1</sub> response in cell mediated response. Indeed in mice induction of IgG2a is correlated with such an immune response.

[0008] For example a vaccine formulation of the HIV antigen gp120 in such a combination results in a powerful synergistic induction of gp120 protein specific immune responses.

30 [0009] The observation that it is possible to induce strong cytolytic T lymphocyte responses is significant as these responses, in certain animal models have been shown to induce protection against disease.

[0010] The present inventors have shown that the combination of the adjuvants QS21 and 3D-MPL together with an oil in water emulsion with an antigen results in a powerful induction of CS protein specific CTL in the spleen. QS21 also enhances induction of CTL on its own, while 3D-MPL does not.

35 [0011] Induction of CTL is easily seen when the target antigen is synthesised intracellularly (e.g. in infections by viruses, intracellular bacteria, or in tumours), because peptides generated by proteolytic breakdown of the antigen can enter the appropriate processing pathway, leading to presentation in association with class I molecules on the cell membrane. However, in general, preformed soluble antigen does not reach this processing and presentation pathway, and does not elicit class I restricted CTL. Therefore conventional non-living vaccines, while eliciting antibody and T helper responses, do not generally induce CTL mediated immunity. The combination of the two adjuvants QS21 and 3D-MPL together with an oil in water emulsion can overcome this serious limitation of vaccines based on recombinant proteins,

40 and induce a wider spectrum of immune responses.

[0012] CTL specific for CS protein have been shown to protect from malaria in mouse model systems (Romero et al. Nature 341:323 (1989)). In human trials where volunteers were immunised using irradiated sporozoites of *P. falciparum*, and shown to be protected against subsequent malaria challenge, induction of CTL specific for CS epitopes was demonstrated (Malik et al. Proc. Natl. Acad. Sci. USA 88:3300 (1991)).

45 [0013] The ability to induce CTL specific for an antigen administered as a recombinant molecule is relevant to malaria vaccine development, since the use of irradiated sporozoites would be impractical, on the grounds of production and the nature of the immune response.

[0014] RTS is a hybrid protein comprising substantially all the C-terminal portion of the circumsporozoite (CS) protein of *P.falciparum* linked via four amino acids of the preS<sub>2</sub> portion of Hepatitis B surface antigen to the surface (S) antigen of hepatitis B virus. Its full structure is disclosed in co-pending International Patent Application No. PCT/EP92/02591, published under Number WO 93/10152 claiming priority from UK patent application No. 9124390.7. When expressed in yeast RTS is produced as a lipoprotein particle, and when it is co-expressed with the S antigen from HBV it produces a mixed particle known as RTS,S.

50 [0015] In addition to human immunodeficiency virus and malaria vaccines, the ability to induce CTL responses would benefit vaccines against herpes simplex virus, cytomegalovirus, and generally all cases where the pathogen has an intracellular life stage.

[0016] Likewise, CTL specific for known tumour antigens could be induced by a combination of a recombinant tumour antigen and the two adjuvants. This would allow the development of anti cancer vaccines.

[0017] In certain systems, the combination of 3D-MPL and QS21 together with an oil in water emulsion have been able to synergistically enhance interferon  $\gamma$  production. The present inventors have demonstrated the potential of 3D-MPL and QS21 together with an oil in water emulsion by utilising a herpes simplex antigen known as gD<sub>2t</sub>. gD<sub>2t</sub> is a soluble truncated glycoprotein D from HSV-2 and is produced in CHO cells according to the methodology Berman *et al.* 5 Science 222 524-527.

[0018] IFN- $\gamma$  secretion is associated with protective responses against intracellular pathogens, including parasites, bacteria and viruses. Activation of macrophages by IFN- $\gamma$  enhances intracellular killing of microbes and increases expression of Fc receptors. Direct cytotoxicity may also occur, especially in synergism with lymphotoxin (another product of TH1 cells). IFN- $\gamma$  is also both an inducer and a product of NK cells, which are major innate effectors of protection. 10 TH1 type responses, either through IFN- $\gamma$  or other mechanisms, provide preferential help for IgG2a immunoglobulin isotypes.

[0019] Glycoprotein D is located on the viral envelope, and is also found in the cytoplasm of infected cells (Eisenberg R.J. *et al.* J. of Viro. 1980 35 428-435). It comprises 393 amino acids including a signal peptide and has a molecular weight of approximately 60kD. Of all the HSV envelope glycoproteins this is probably the best characterized (Cohen *et al.* J. Virology 60 157-166). *In vivo* it is known to play a central role in viral attachment to cell membranes. Moreover, glycoprotein D has been shown to be able to elicit neutralizing antibodies *in vivo* (Eing *et al.* J. Med Virology 127: 59-65). However, latent HSV2 virus can still be reactivated and induce recurrence of the disease despite the presence of high neutralizing antibodies titre in the patients sera. It is therefore apparent that the ability to induce neutralizing antibody alone is insufficient to adequately control the disease. 15

[0020] In order to prevent recurrence of the disease, any vaccine will need to stimulate not only neutralizing antibody, but also cellular immunity mediated through T-cells, particularly cytotoxic T-cells. 20

[0021] In this instance the gD<sub>2t</sub> is HSV2 glycoprotein D of 308 amino acids which comprises amino acids 1 though 306 of the naturally occurring glycoprotein with the addition of Asparagine and Glutamine at the C terminal end of the truncated protein. This form of the protein includes the signal peptide which is cleaved to yield a mature 283 amino acid 25 protein. The production of such a protein in Chinese Hamster ovary cells has been described in Genentech's European patent EP-B-139 417.

[0022] The mature truncated glycoprotein D (rgD2t) or equivalent proteins secreted from mammalian cells, is preferably used in the vaccine formulations of the present invention. 30

[0023] The formulations of the present invention are very effective in inducing protective immunity in a genital herpes model in guinea pigs. Even with very low doses of antigen (e.g. as low as 5  $\mu$ g rgD2t) the formulations protect guinea pigs against primary infection and also stimulate specific neutralising antibody responses. The inventors, utilising formulation of the present invention, have also demonstrated Effector cell mediated responses of the TH1 type in mice. 35

[0024] Accordingly, one preferred embodiment of the present invention provides a vaccine or pharmaceutical formulation comprising an antigen in conjunction with 3 De-O-acylated monophosphoryl lipid A, QS21 and an oil in water emulsion wherein the oil in water emulsion comprises a metabolisable oil, such as squalene, alpha tocopherol and tween 80<sup>®</sup>. Such a formulation is suitable for a broad range of monovalent or polyvalent vaccines. Additionally the oil in water emulsion may contain span 85<sup>®</sup>. A preferred form of 3 De-O-acylated monophosphoryl lipid A is disclosed in International patent application published under No. 94/21292 - SmithKline Beecham Biologicals s.a. 40

[0025] Preferably the vaccine formulations will contain an antigen or antigenic composition capable of eliciting an immune response against a human or animal pathogen, which antigen or antigenic composition is derived from HIV-1, (such as gp120 or gp160), any of Feline Immunodeficiency virus, human or animal herpes viruses, such as gD or derivatives thereof or Immediate Early protein such as ICP27 from HSV1 or HSV2, cytomegalovirus ((esp Human)(such as gB or derivatives thereof), Varicella Zoster Virus (such as gpl, II or III), or from a hepatitis virus such as hepatitis B virus for example Hepatitis B Surface antigen or a derivative thereof, hepatitis A virus, hepatitis C virus and hepatitis E virus, or from other viral pathogens, such as Respiratory Syncytial virus, human papilloma virus or Influenza virus, or derived 45 from bacterial pathogens such as Salmonella, Neisseria, Borrelia (for example OspA or OspB or derivatives thereof), or Chiamydia, or Bordetella for example P.69, PT and FHA, or derived from parasites such as plasmodium or Toxoplasma. 50

[0026] The formulations may also contain an anti-tumour antigen and be useful for immunotherapeutically treating cancers. .

[0027] In an immunotherapeutic animal model for B cell lymphoma, where BCL-1 mouse lymphoma cells are administered intaperitoneally to Balb/c mice on day 0, and mice are vaccinated on days 3, 10 and 20 with the BCL-1 Idiotype, formulation SB62/MPL/QS21 stands out as the most potent, both with respect to antibody titers, and with respect to survival (the only group with 100% survival). Similarly the ability of this formulation to stimulate cytotoxic T lymphocytes to the antigens included make them a good candidate for formulation of cancer antigens (eg melanoma antigens MAGE-1 and MAGE-3 for immunotherapy of tumors by active vaccination). 55

[0028] The formulation may also be useful for utilising with herpetic light particles such as described in International Patent Application No. WO 92/19748 and, International Patent Application No. WO 92/13943.

[0029] Derivatives of Hepatitis B Surface antigen are well known in the art and include, inter alia, those PreS<sub>1</sub>, PreS<sub>2</sub> S antigens set forth described in European Patent applications EP-A-414 374; EP-A-0304 578, and EP 198-474. In one preferred aspect the vaccine formulation of the invention comprises the HIV-1 antigen, gp120, especially when expressed in CHO cells. In a further embodiment, the vaccine formulation of the invention comprises gD<sub>2</sub>t as hereinabove defined.

5 [0030] In a further aspect of the present invention there is provided a vaccine as herein described for use in medicine.

[0031] The ratio of QS21 : 3D-MPL will typically be in the order of 1 : 10 to 10 : 1; preferably 1 : 5 to 5 : 1 and often substantially 1 : 1. The preferred range for optimal synergy is 2.5:1 to 1:1 3D MPL: QS21. Typically for human administration QS21 and 3D MPL will be present in a vaccine in the range 1 µg - 100 µg, preferably 10 µg - 50 µg per dose.

10 Typically the oil in water will comprise from 2 to 10% squalene, from 2 to 10% alpha tocopherol and from 0.3 to 3% tween 80<sup>®</sup>. Preferably the ratio of squalene: alpha tocopherol is equal or less than 1 as this provides a more stable emulsion. Span 85<sup>®</sup> may also be present at a level of 1%. In some cases it may be advantageous that the vaccines of the present invention will further contain a stabiliser.

15 [0032] Vaccine preparation is generally described in New Trends and Developments in Vaccines, edited by Voller et al., University Park Press, Baltimore, Maryland, U.S.A. 1978. Encapsulation within liposomes is described, for example, by Fullerton, U.S. Patent 4,235,877. Conjugation of proteins to macromolecules is disclosed, for example, by Likhite, U.S. Patent 4,372,945 and by Armor et al., U.S. Patent 4,474,757.

20 [0033] The amount of protein in each vaccine dose is selected as an amount which induces an immunoprotective response without significant, adverse side effects in typical vaccinees. Such amount will vary depending upon which specific immunogen is employed and how it is presented. Generally, it is expected that each dose will comprise 1-1000 µg of protein, preferably 2-100 µg, most preferably 4-40 µg. An optimal amount for a particular vaccine can be ascertained by standard studies involving observation of appropriate immune responses in subjects. Following an initial vaccination, subjects may receive one or several booster immunisation adequately spaced.

25 [0034] The formulations of the present invention maybe used for both prophylactic and therapeutic purposes.

[0035] Accordingly in one aspect, the invention provides a method for preparing a vaccine composition.

[0036] The following examples illustrate the invention.

#### Examples

30 [0037] Example 1 Vaccine formulation comprising the gp120 antigen of HIV-1.

[0037] The two adjuvant formulations were made each comprising the following oil in water emulsion component.

SB26: 5% squalene 5% tocopherol 0.4% tween 80<sup>®</sup>; the particle size was 500 nm size

35 SB62: 5% Squalene 5% tocopherol 2.0% tween 80<sup>®</sup>; the particle size was 180 nm

##### 1(a) Preparation of emulsion SB62 (2 fold concentrate)

40 [0038] Tween 80<sup>®</sup> is dissolved in phosphate buffered saline (PBS) to give a 2% solution in the PBS. To provide 100 ml two fold concentrate emulsion 5g of DL alpha tocopherol and 5ml of squalene are vortexed to mix thoroughly. 90ml of PBS/Tween<sup>®</sup> solution is added and mixed thoroughly. The resulting emulsion is then passed through a syringe and finally microfluidised by using an M110S microfluidics machine. The resulting oil droplets have a size of approximately 180 nm.

##### 45 1(b) Preparation of emulsion SB26

[0039] This emulsion was prepared in an analogous manner utilising 0.4% tween 80<sup>®</sup>.

50 1(c) Other emulsions as depicted in Table 1 were made in an analogous manner.

[0040] These are tested in the experiments as detailed in the following examples.

##### 1(d) Preparation of gp 120 QS21/3D MPL oil in water formulation.

55 [0041] To the emulsion of 1 a) or b) or c) an equal volume of twice concentrated gp120 (either 20µg or 100µg) was added and mixed. This was combined with 50µg/ml of 3D-MPL and 20µg/ml of QS21 to give the final formulation. Buffer was added according to salt content and pH.

[0042] Table 3 shows the effectiveness of SB26, utilising gp120 from HIV and 50µg/ml 3D MPL (MPL) and 20µg/ml

of QS21. The results show the geometric mean titre (GMT) after the second (P11) and third (P111) inoculations as well as cell mediated responses (CMI) to lymphocyte proliferation and  $\gamma$  interferon production.

**Example 2**

5

Introduction: Evaluation of an HIV gp 120 emulsion system

[0043] In this experiment, four emulsions are compared [SB26, SB 62, SB40, SB61]. The influence of each formulation's component (antigen, emulsion, 3D-MPL, QS21) is evaluated.

10

2(b) Groups of animals utilised

[0044] There are 22 groups of 5 animals each group received a different vaccine formulation.

15

- gr 1-4: gp 120 (10 $\mu$ g) / no emuls  $\pm$  [3D-MPL, QS21]
- gr 5-9: gp 120 (10 $\mu$ g) / SB26  $\pm$  [3D-MPL, QS21]
- gr 10: no antigen / SB26 + [3D-MPL, QS21]
- gr 11-12: gp 120 (10 $\mu$ g) / SB62  $\pm$  [3D-MPL, QS21]
- gr 13-16: gp 120 (10 $\mu$ g) / SB40  $\pm$  [3D-MPL, QS21]
- 20 - gr 17-20: gp 120 (10 $\mu$ g) / SB61  $\pm$  [3D-MPL, QS21]
- gr 21-22: gp 120 (5 $\mu$ g) / SB26  $\pm$  [3D-MPL, QS21]

- Assays: - antibody titers to gp 120W61D and isotype analysis (all groups)

25

2(c) Immunization and bleeding schedule

[0045]

30

- animals were immunized with gp 120W61D, formulated in different o/w emulsions in the presence of 5 $\mu$ g 3D-MPL and 5 $\mu$ g QS21 per dose. Negative controls received the equivalent formulations without any antigen.
- animals were immunized subcutaneously at day 0 and 14. Each injection dose was administered in a 100 $\mu$ l volume.
- blood samples were obtained before immunization (day 0) and after immunization on days 14 (post I), 21 and 28 (7 and 14d. post II).

2(d) Analysis of the serological response:

[0046]

40

- the 14 days post I and post II serological response was evaluated in a direct ELISA assay to gp 120W61D.
- the 14 days post II response was also characterized regarding the isotypes of gp 120W61D specific antibodies induced in mice after immunization.

45

3 RESULTS AND DISCUSSION:

[0047] The results are depicted on Table 2

50

a) Comparison of emulsions in the presence or absence of 3D-MPL/QS21:

[0048]

55

- Addition of emulsions SB26, SB40 or SB62 to the antigen induces higher antibody titers; In the absence of immunostimulants, the gp 120 specific antibodies are essentially IgG1.
- Addition of immunostimulants 3D-MPL and QS21 induces a huge serological response and a shift of antibodies from IgG1 type to IgG2a/IgG2b: This correlated with cell mediated immunity.

[0049] The preferred combination is [SB26 + MPL + QS21].

c) gp120/SB26 formulation:

5 [0050] No significant difference in serological response is observed between group 8 and group 9: addition of the gp 120 before or after the other components of the formulation.

d) Antigen dose:

10 [0051] Both 5 and 10 µg of gp 120 formulated in SB26 induce high serological response (groups 5-8 and 21-22).

**Example 3 HSV rgD<sub>2</sub>t formulation**

15 [0052] In analogous manner to that set forth in Example 1a) formulation comprising the herpes simplex antigen rgD<sub>2</sub>t was made and used to vaccinate guinea pigs. Such formulation induced protection against both recurrent and initial disease in the guinea pig model.

**Example 4**

20 **Screening of adjuvants for induction of protective anti lymphoma responses using idiotype as immunogen.**

[0053] Therapeutic vaccination of Balb/c mice with idiotype from BCL 1 lymphoma cells.

[0054] A review of the BALB/C B-cell lymphoma model is discussed by Yefenoh et al. Current opinions Immunobiology 1993 5:740-744.

25 [0055] Groups of 10 mice are injected (ip) with 10<sup>4</sup> tumor cells at day 0, and vaccinated with 100 µg of KLH- coupled immunoglobulin directed against BCL 1 epitope (ratio of KLH/1g: 1/1), in different adjuvant formulations at days 3, 10, 20 (sc immunization in the back). Level of serum antibodies to KLH and to idioype, as well as mouse death are monitored.

30

Formulations tested:	
group#	adjuvant
35 1	none (no antigen)
2	none
40 3	Freund
4	Alum
45 5	Alum/MPL
6	Alum/MPL/QS21
7	QS21
8	MPL/QS21
9	SB62MPL
50 10	SB62/MPL/QS21
groups 12-15: different adjuvants without antigen	
MPL: 10µg	
QS21: 10µg	
Formulations 8, 9, 10, behaved consistently better as compared to the others. Formulation 10 stands out as the most potent, both with respect to antibody titers, and with respect to survival (the only group with 100% survival).	

## EXAMPLE 5 Various formulations of RTS,S

## a) Evaluated in monkeys

5 [0056] RTS,S is described in International patent application no. WO93/10152 and was formulated for vaccination of Rhesus monkeys. Five animals were in each group:

- 10 Group I RTS,S, 3D-MPL(50 $\mu$ ), AL(OH)<sub>3</sub>
- Group II RTS,S, QS21(20 $\mu$ ), AL(OH)<sub>3</sub>
- Group III RTS,S, 3D-MPL(50 $\mu$ ), QS21(20 $\mu$ )
- Group IV RTS,S, 3D-MPL(50 $\mu$ ), QS21 AL(OH)<sub>3</sub>
- Group V RTS,S, 3D-MPL(10 $\mu$ ), QS21 AL(OH)<sub>3</sub>
- Group VI RTS,S, 3D-MPL(50 $\mu$ ), QS21 SB60

15 [0057] The animals were inoculated and bled at 14 days post first immunisation and 12 days post second immunisation and tested for Anti hepatitis B surface antigen immunoglobulin. As can be seen from figure 1, animals receiving RTS,S, in SB60 had antibody titres almost six fold higher than any other group.

## b) Various formulations of RTS,S - Evaluated in mice

20 [0058] 7 groups of animals received the following formulations

- 25 Group 1 RTS,S SB62
- Group 2 RTS,S QS21 3D-MPL
- Group 3 RTS,S QS21 3D-MPL SB62
- Group 4 RTS,S 3D-MPL A1(OH)<sub>3</sub>
- Group 5 RTS,S A1(OH)<sub>3</sub>
- Group 6 Plain
- Group 7 Negative control

30 (RTS,S - 5 $\mu$ g/dose, 3 D-MPL 5 $\mu$ g/dose QS21 5 $\mu$ g/dose)

[0059] The animals were inoculated and bled at 15 days post first immunisation and at day 7 and 15 post second immunisation and assayed for anti HBSAg antibody subtype. As can be seen from figure 2, the emulsion SB62 when formulated with QS21 and 3D-MPL enhances preferentially and in a synergistic fashion the IgG2a antibody response while SB 62 alone or 3 D- MPL / QS21 induce a poor IgG2a response.

EXAMPLE 6: Evaluation of different *B burgdorferi* OspA formulations6.1 Evaluation of different formulations of *B burgdorferi* ZS7 Osp A lipoproteins.

40 [0060] OspA lipoprotein for *B burgdorferi* is described in European Patent Application 0418 827 Max Plank et al.  
 [0061] The following formulations were tested in balb/c mice

- 45 1. OspA + A1(OH)<sub>3</sub>
- 2. OspA + A1(OH)<sub>3</sub> + 3D-MPL (10 $\mu$ )
- 3. OspA + A1(OH)<sub>3</sub> + 3D-MPL (30 $\mu$ )
- 4. OspA + A1(OH)<sub>3</sub> + 3D-MPL (10 $\mu$ ) + QS21 (5 $\mu$ )
- 5. OspA + A1(OH)<sub>3</sub> + 3D-MPL (30 $\mu$ ) + QS21 (15 $\mu$ )
- 6. OspA + SB60 + 3D-MPL (10 $\mu$ ) + QS21 (5 $\mu$ )
- 50 7. OspA + SB60 + 3D-MPL (30 $\mu$ ) + QS21 (15 $\mu$ )

and antibody titres and sub types studied seven days following a first inoculation and seven days post second inoculation (inoculations were at day 0, and 14).

55 [0062] The results depicted graphically in figures 3 and 4 and show that the formulations of the present invention induce high levels of antibodies and these are preferentially of the IgG2a subtype.

## EXAMPLE 7:

## a) HSV-2 ICP 27

5 [0063] Female Balb/c mice were immunized on day 0 and day 14 in the hind foot-pads with various formulations of NS1-ICP27. Each injection contained 5 µg of NS1-ICP27 and combinations of SB26 oil-in-water emulsion, QS21 (10 µg) and MPL (25 µg).  
 10 Popliteal lymphnode cells were obtained on day 28 and stimulated in vitro with syngeneic P815 cells transfected with the ICP27 gene. The cultures were then tested for specific cytolytic activity on P815 target cells transfected with ICP27 and P815 ICP27 negative controls.  
 15 [0064] Specific lysis results at different effector:target (E:T) ratios for different immunization groups were as follows:

ICP 27 (5µg)

15

E:T	P815	P815 transfected with ICP 27 clone 121
100:1	-1	0
30:1	-2	-3
10:1	3	0
3:1	1	0
1:1	2	2
0.3:1	2	2

30 ICP 27 (5µg) + MPL (25µg)

35

E:T	P815	P815 transfected with ICP 27 clone 121
100:1	5	7
30:1	2	2
10:1	1	2
3:1	-1	-1
1:1	-2	-2
0.3:1	-4	-1

45

ICP 27 (5µg) + QS21 (10µg)

50

E:T	P815	P815 transfected with ICP 27 clone 121
100:1	4	17
30:1	5	10
10:1	3	7

EP 0 735 898 B1

(continued)

E:T	P815	P815 transfected with ICP 27 clone 121
3:1	4	5
1:1	3	5
0.3:1	0	1

5

ICP 27 (5 $\mu$ g) + SB26

15

E:T	P815	P815 transfected with ICP 27 clone 121
100:1	5	20
30:1	1	19
10:1	2	12
3:1	-2	7
1:1	1	5
0.3:1	1	2

20

ICP 27 (5 $\mu$ g) + MPL (25 $\mu$ g) + QS21 (10 $\mu$ g)

30

E:T	P815	P815 transfected with ICP 27 clone 121
100:1	4	13
30:1	5	12
10:1	4	17
3:1	1	3
1:1	0	3
0.3:1	-1	-2

35

40

ICP 27 (5 $\mu$ g) + MPL (25 $\mu$ g) + QS21 (10 $\mu$ g) + SB26

45

50

55

E:T	P815	P815 transfectés avec ICP27 clone 121
100:1	2	20
30:1	0	17
10:1	3	19
3:1	3	8
1:1	1	6
0.3:1	2	3

[0065] Low ICP27 specific % lysis was obtained in immunization groups:

ICP 27 (5 $\mu$ g) + QS21 (10 $\mu$ g)  
 ICP 27 (5 $\mu$ g) + SB26  
 5 ICP 27 (5 $\mu$ g) + MPL (25 $\mu$ g) + QS21 (10 $\mu$ g)  
 ICP 27 (5 $\mu$ g) + MPL (25 $\mu$ g) + QS21 (10 $\mu$ g) + SB26

while

10 ICP 27 (5 $\mu$ g)  
 ICP 27 (5 $\mu$ g) + MPL (25 $\mu$ g)

were negative.

[0066] Thus these data show induction of CTL by recombinant NS1-ICP27 in oil-in-water emulsion alone or with QS21  
 15 and MPL; or with QS21.

b) Groups of 5 Balb/c mice were vaccinated in the footpad with the different vaccines (NS1-1CP27/NS1-ICP27 MPL +  
 QS21/NS1-ICP27 SB26 = MPL and QS21/ adjuvant alone). One dose contained 10  $\mu$ g NS1-ICP27, 10  $\mu$ g MPL and  
 10 $\mu$ g QS21.

20 [0067] Two vaccinations were given at days 0 and 7. Mice were challenged at day 14 with 5.2 10<sup>3</sup> TCID50 of HSV2 strain MS. The appearance of zosteriform lesions and deaths were recorded until day 14 post challenge.

[0068] ICP27 of HSV2 was expressed in E coli as a fusion protein with NS1 fragment of influenza virus. The protective efficacy of the purified recombinant protein was evaluated in the murine zosteriform model, in combination with MPL  
 25 QS21 formulations. Balb/c mice given two vaccinations with NS1-ICP27 combined either with MPL + QS21 or with an oil in water emulsion (SB26) + MPL and QS21 were completely protected against disease (no zosteriform lesions) and death following HSV2 wild type challenge. In contrast, protection was not observed in the mice vaccinated either with NS1-ICP27 alone or with NS1-ICP27 combined with SB26 without MPL and QS21.

30

Table 1

Vehicles two fold concentrated						
Emulsions SB	Tocopherol %	Squalene %	Tween 80 %	Span 85 %	Lecithin %	Size
35 26	5	5	0.4	0	0	500 nm 90-100% 800 nm 10-0%
26.1	5	5	0.4	0	0.1	500 nm
40 63	5	5	0.6	0	0	500 nm
64	5	5	0.8	0	0	500 nm
61	5	5	1	0	0	250-300 nm
62	5	5	2	0	0	180 nm
45 40	5	5	0.4	1	0	500 nm 80-100% 800 nm 20-0%
40.1	5	5	0.4	1	0.1	500 nm
50 60	5	5	1	1	0	300 nm
65	5	5	0.4	1.5	0	500 nm
66	5	5	0.4	2	0	500 nm

55

Table 2

HIV gp 120W61D / MOUSE IMMUNOGENICITY (94243) / BALB / C (F.P.)						
5	GROUPS	IMMUNOGEN (dose)/FORMULATION	ELISA TITERS (7 days PII)	% IgG1	% IgG2a	% IgG2b
10	1	gP120 10µg	494	100	0	0
15	2	gP120 10µg + 3D-MPL 5µg	4164	54	15	32
20	3	gP120 10µg + QS21 5µg	21515	89	4	8
25	4	gP120 10µg + 3D-MPL + QS21	52749	22	60	18
30	5	gP120 10µg / SB26	12205	94	2	4
35	6	gP120 10µg / SB26 + 3D-MPL	87388	31	42	27
40	7	gP120 10µg / SB26 + QS21	51020	73	15	13
45	8	gP120 10µg / SB26 + 3D-MPL + QS21	178169	23	57	21
50	9	SB26 + 3D-MPL + QS21 / gP120 10µg	185704	22	60	19
55	11	gP120 10µg / SB62	10348	92	8	0
60	12	gP120 10µg / SB62 + 3D-MPL + QS21	21739	54	37	9
65	13	gP120 10µg / SB40	36320	90	7	4
70	14	gP120 10µg / SB40 + 3D-MPL	285219	31	44	25
75	15	gP120 10µg / SB40 + QS21	48953	78	15	7
80	16	gP120 10µg / SB40 + 3D-MPL + QS21	209217	14	67	18
85	17	gP120 10µg / SB61	<50	-	-	-
90	18	gP120 10µg / SB61 + 3D-MPL	77515	31	50	19
95	19	gP120 10µg / SB61 + QS21	40737	74	13	13
100	20	gP120 10µg / SB61 + 3D-MPL + QS21	59673	29	57	14
105	21	gP120 5µg / SB26	25089	99	0	1
110	22	gP120 5µg / SB26 + 3D-MPL + QS21	242736	18	61	21
ELISA titers to gp 120 W61D: geometric mean of 5 individual titers, calculated by LINEST						

40

Table 3

3D-MPL based formulations: HIV project Monkey studies									
45	Read-Out	GMT ELisa W61 D		GMT Neut. MN		DTH in vivo	CMI in vitro		
50	Formulation	P11	P111	P11	P111		LP	IL-2	γIFN
55	gp120 (100 µg)/ o/w + MPL + QS21	60523	93410	1:500	>1:3200		+	ND	+
60	gp120 (20 µg)/ o/w + MPL + QS21	52026	50150	1:500	1:2400		+	ND	+
65	"Historical" gp120 (100 µg)/ o/w + MPL in guinea pigs		20064						

## 55 Claims

1. A vaccine composition comprising an antigen and/or antigenic composition, QS21 saponin from *Quillaja saponaria* molina, 3 De-O-acylated monophosphoryl lipid A (3D-MPL) and an oil in water emulsion wherein the oil in water

emulsion has the following composition: a metabolisable oil, such as squalene, alpha tocopherol and Tween 80®.

2. A vaccine as claimed in claim 1 wherein the ratio of QS21:3D-MPL is from 1:10 to 10:1.
- 5 3. A vaccine composition as claimed in claim 1 or 2 capable of invoking a cytolytic T cell response in a mammal to the antigen or antigenic composition.
4. A vaccine composition as claimed in any of claims 1 to 3 capable of stimulating interferon  $\gamma$  production.
- 10 5. A vaccine composition as claimed in any of claims 1 to 4 wherein the ratio of QS21:3D-MPL is from 1:1 to 1:2.5.
6. A vaccine composition as claimed herein comprising an antigen or antigenic composition derived from any of Human Immunodeficiency Virus, Feline Immunodeficiency Virus, Herpes Simplex Virus type 1, Herpes Simplex virus type 2, Human cytomegalovirus, Hepatitis A,B,C or E, Respiratory Syncytial virus, human papilloma virus, Influenza virus, Salmonella, Neisseria, Borrelia, Chlamydia, Bordetella, Plasmodium or Toxoplasma.
- 15 7. A vaccine as claimed in any of claim 1 to 5 wherein the antigen is a tumour antigen.
8. Use of composition as defined in any of claims 1 to 5 for the manufacture of a vaccine for the prophylactic treatment of viral, bacterial, or parasitic infections.
- 20 9. Use of composition as defined in any of claims 1 to 5 for the manufacture of a vaccine for the immunotherapeutic treatment of viral, bacterial, parasitic infections or cancer.
- 25 10. A process for making a vaccine composition according to claims 1 to 5 comprising admixing QS21, 3D-MPL and the oil in water emulsion as defined in claim 1 with an antigen or antigenic composition.

**Patentansprüche**

- 30 1. Impfstoffzusammensetzung, umfassend ein Antigen und/oder eine antigene Zusammensetzung, QS21-Saponin von Quillaja saponaria molina, 3-Des-O-acyliertes Monophosphoryllipid A (3D-MPL) und eine Öl-in-Wasser-Emulsion, wobei die Öl-in-Wasser-Emulsion die folgende Zusammensetzung aufweist: ein metabolisierbares Öl wie Squalen, alpha-Tocopherol und Tween 80®.
- 35 2. Impfstoff nach Anspruch 1, wobei das Verhältnis QS21:3D-MPL bei 1:10 bis 10:1 liegt.
3. Impfstoffzusammensetzung nach Anspruch 1 oder 2, die in der Lage ist, eine cytolytische T-Zellantwort in einem Säuger gegen das Antigen oder die antigene Zusammensetzung hervorzurufen.
- 40 4. Impfstoffzusammensetzung nach einem der Ansprüche 1 bis 3, die in der Lage ist, die Produktion von Interferon- $\gamma$  zu stimulieren.
5. Impfstoffzusammensetzung nach einem der Ansprüche 1 bis 4, wobei das Verhältnis QS21:3D-MPL bei 1:1 bis 1:2,5 liegt.
- 45 6. Impfstoffzusammensetzung wie hier beansprucht, umfassend ein Antigen oder eine antigene Zusammensetzung, das (die) stammt von: Human Immunodeficiency Virus, Feline Immunodeficiency Virus, Herpes-Simplex-Virus Typ 1, Herpes-Simplex-Virus Typ 2, menschlichem Cytomegalievirus, Hepatitis A, B, C oder E, RS-Virus (Respiratory Syncytial Virus), menschlichem Papillomavirus, Influenzavirus, Salmonella, Neisseria, Borrelia, Chlamydia, Bordetella, Plasmodium oder Toxoplasma.
- 50 7. Impfstoff nach einem der Ansprüche 1 bis 5, wobei das Antigen ein Tumorantigen ist.
8. Verwendung der Zusammensetzung wie in einem der Ansprüche 1 bis 5 definiert, zur Herstellung eines Impfstoffes zur prophylaktischen Behandlung von viralen, bakteriellen oder parasitischen Infektionen.
- 55 9. Verwendung der Zusammensetzung wie in einem der Ansprüche 1 bis 5 definiert, zur Herstellung eines Impfstoffes für die immuntherapeutische Behandlung von viralen, bakteriellen oder parasitischen Infektionen oder Krebs.

10. Verfahren zur Herstellung einer Impfstoffzusammensetzung nach einem der Ansprüche 1 bis 5, umfassend das  
Mischen von QS21, 3D-MPL und der Öl-in-Wasser-Emulsion gemäß der Definition in Anspruch 1 mit dem Antigen  
oder der antigenen Zusammensetzung.

5 **Revendications**

1. Composition vaccinante comprenant un antigène et/ou une composition antigénique, la saponine QS21 provenant  
10 de Quillaja Saponaria Molina, le monophosphoryl lipide A 3-O-désacylé (3D-MPL) et une émulsion huile-dans-eau  
dans laquelle l'émulsion huile-dans-eau a la composition suivante : une huile métabolisable, comme le squalène,  
l'alpha-tocophérol et le Tween 80®.
2. Vaccin suivant la revendication 1, dans lequel le rapport QS21:3D-MPL est de 1:10 à 10:1.
3. Composition vaccinante suivant les revendications 1 ou 2, capable d'induire une réponse de cellule T cytolytique  
15 chez un mammifère à l'antigène ou à la composition antigénique.
4. Composition vaccinante suivant l'une quelconque des revendications 1 à 3, capable de stimuler une production de  
γ-interféron.
- 20 5. Composition vaccinante suivant l'une quelconque des revendications 1 à 4, dans laquelle le rapport QS21:3D-MPL  
est de 1:1 à 1:2,5.
6. Composition vaccinante telle que revendiquée ici comprenant un antigène ou une composition antigénique prove-  
25 nant de l'un quelconque du virus d'immunodéficience humaine, du virus d'immunodéficience féline, du virus herpes  
simplex type 1, du virus herpes simplex type 2, du cytomégalovirus humain, de l'hépatite A, B, C ou E, du virus syn-  
cytial respiratoire, du papillomavirus humain, du virus de la grippe, de Salmonella, Neisseria, Borrelia, Chlamydia,  
Bordetella, Plasmodium ou Toxoplasma.
7. Vaccin suivant l'une quelconque des revendications 1 à 5, dans lequel l'antigène est un antigène tumoral.
- 30 8. Utilisation d'une composition suivant l'une quelconque des revendications 1 à 5 pour la fabrication d'un vaccin pour  
le traitement prophylactique d'infections virales, bactériennes ou parasitaires.
9. Utilisation d'une composition suivant l'une quelconque des revendications 1 à 5 pour la fabrication d'un vaccin pour  
le traitement immunothérapeutique d'infections virales, bactériennes, parasitaires ou de cancer.
- 35 10. Procédé pour la fabrication d'une composition vaccinante suivant l'une quelconque des revendications 1 à 5 com-  
prenant le mélange de QS21, de 3D-MPL et de l'émulsion huile-dans-eau telle que définie dans la revendication 1  
avec un antigène ou une composition antigénique.

40

45

50

55

anti-HBs in Rhesus monkeys (RTS,S)

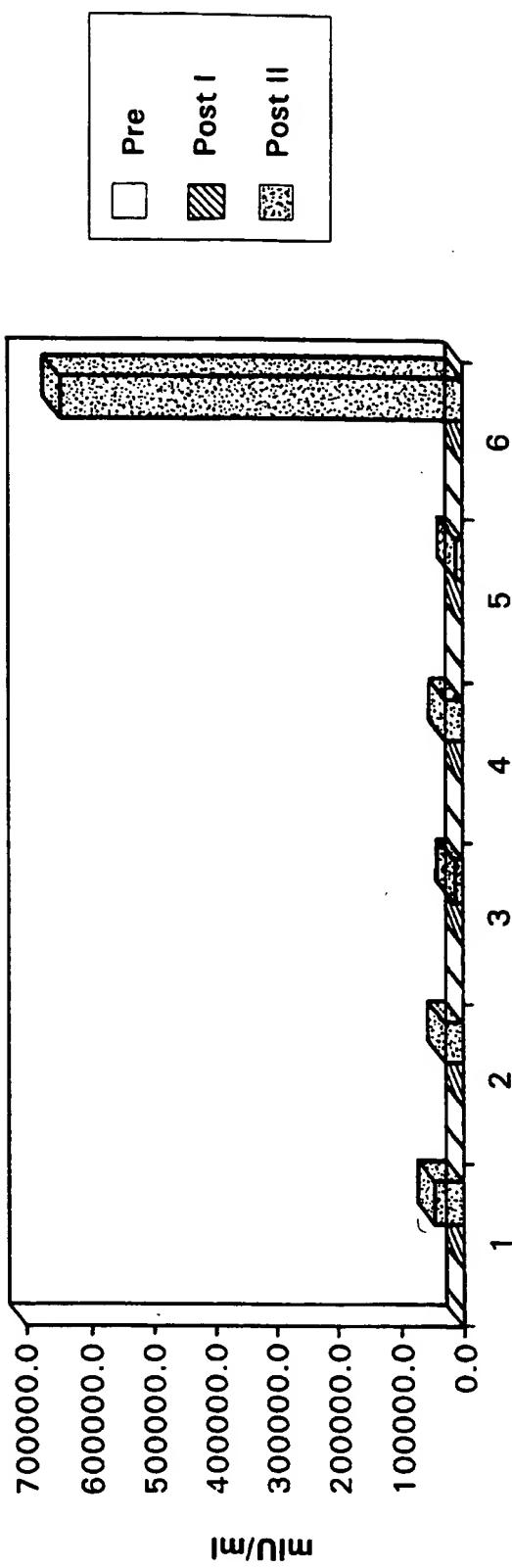


Fig. 1

## ANTIGEN HbsAg

GROUP	FORMULATION	IgG1			IgG2a			IgG2b		
		PostII5	PostII7	PostII15	PostII5	PostII7	PostII15	PostII5	PostII7	PostII15
1	SB62	275	3861	4171	44	479	1134	81	700	704
2	QS21 MPL	33	2533	2146	176	5301	5464	138	2235	1160
3	QS21 MPL SB62	130	1248	1774	498	8551	15806	371	5107	3606
4	AL(OH) <sub>3</sub> MPL	187	1138	2501	129	1832	4059	249	2621	1441
5	AL(OH) <sub>3</sub>	130	936	1562	13	128	658	265	192	951
6	plain	6	426	490	6	90	87	5	226	183
7	neg Controls	5	5	5	5	5	5	5	5	5

Fig. 2a

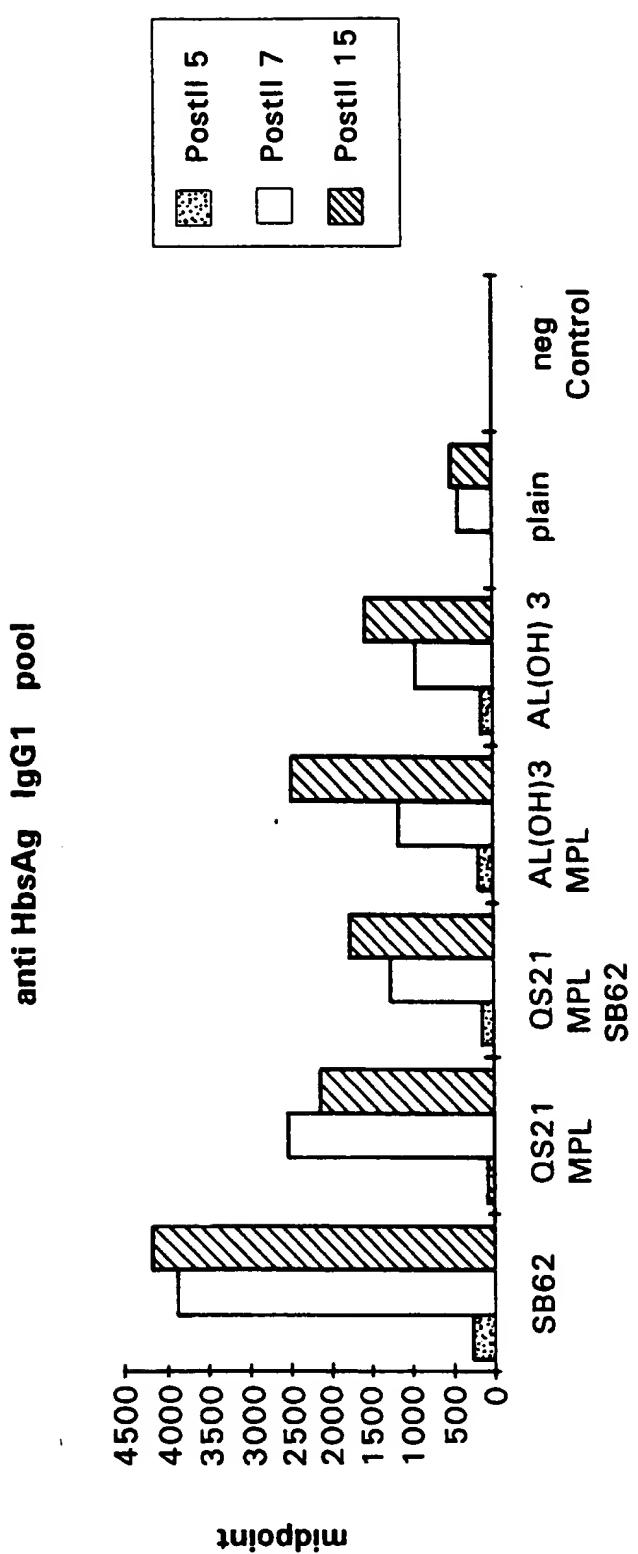


Fig. 2b

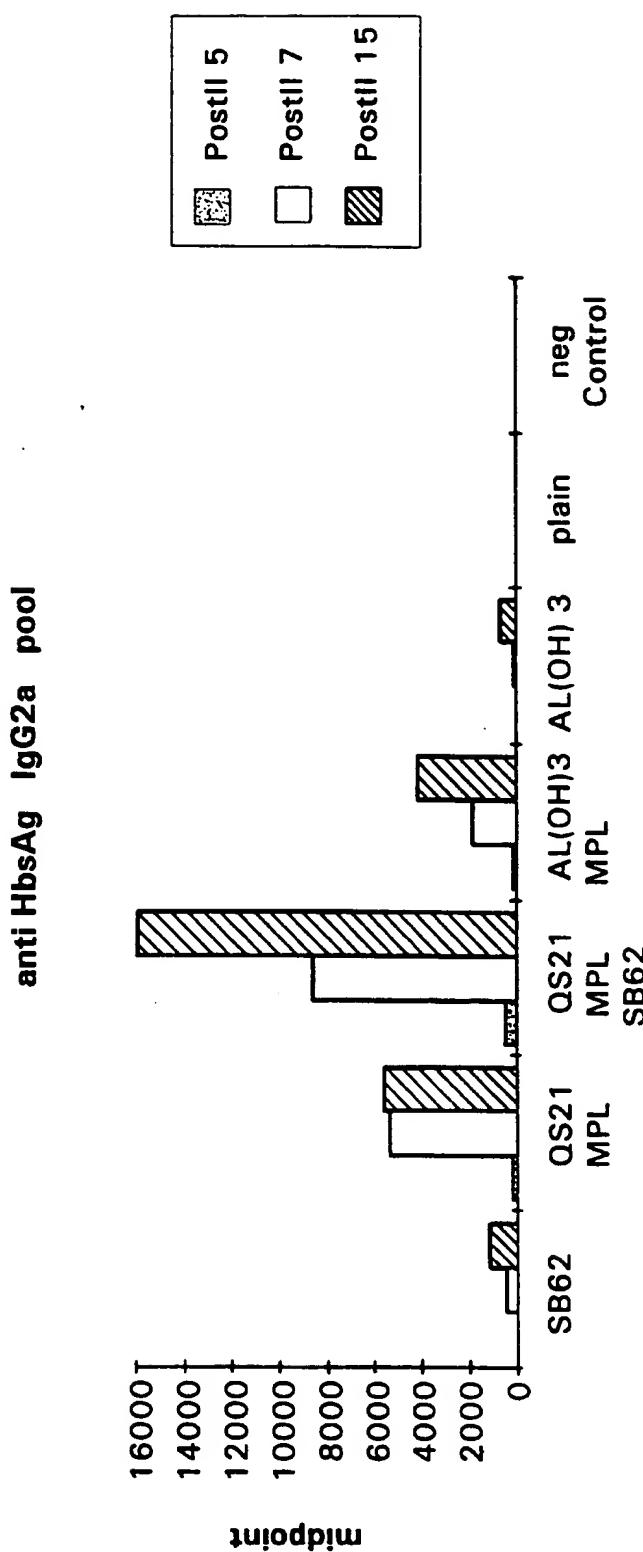


Fig. 2c

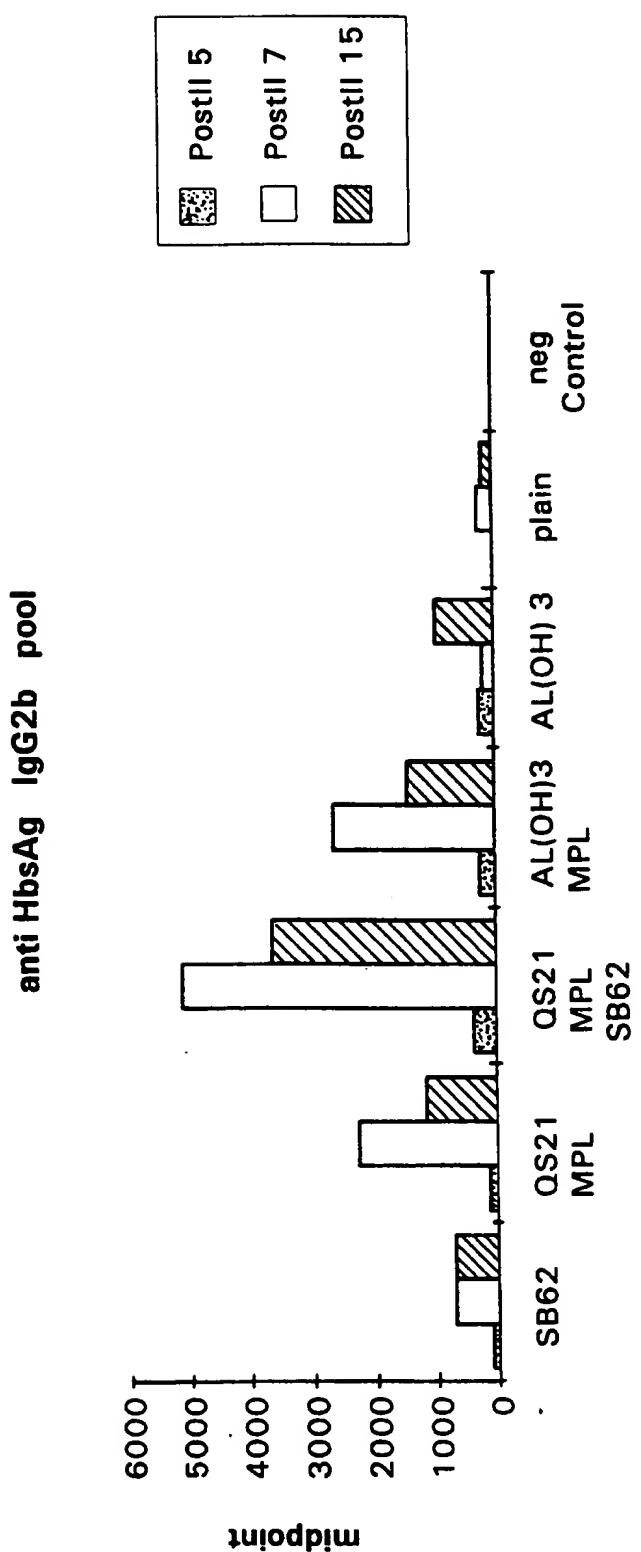
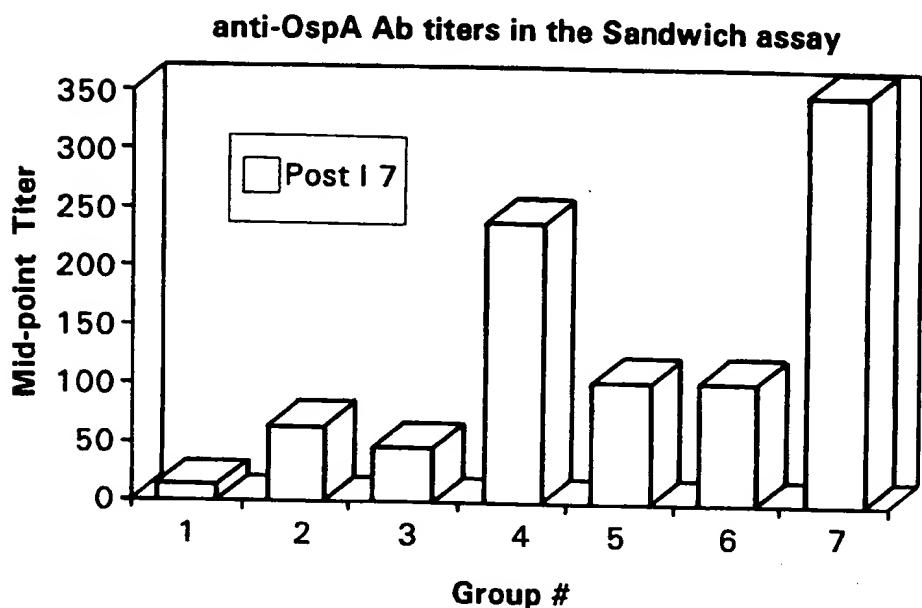
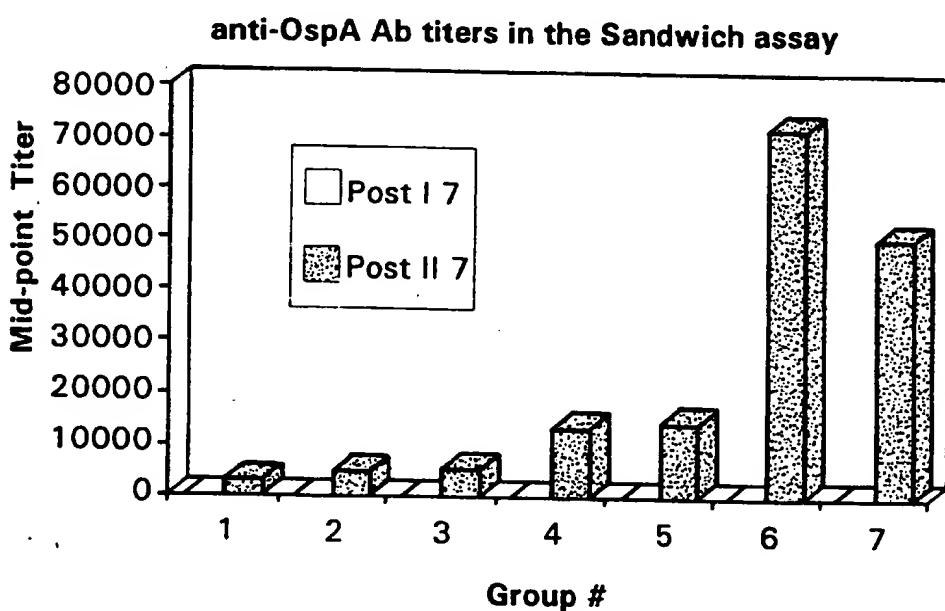


Fig. 2d

**Anti-OspA Abs titers (Igt) after immunization of Balb/C mice  
with different formulations of Lipoprotein OspA**



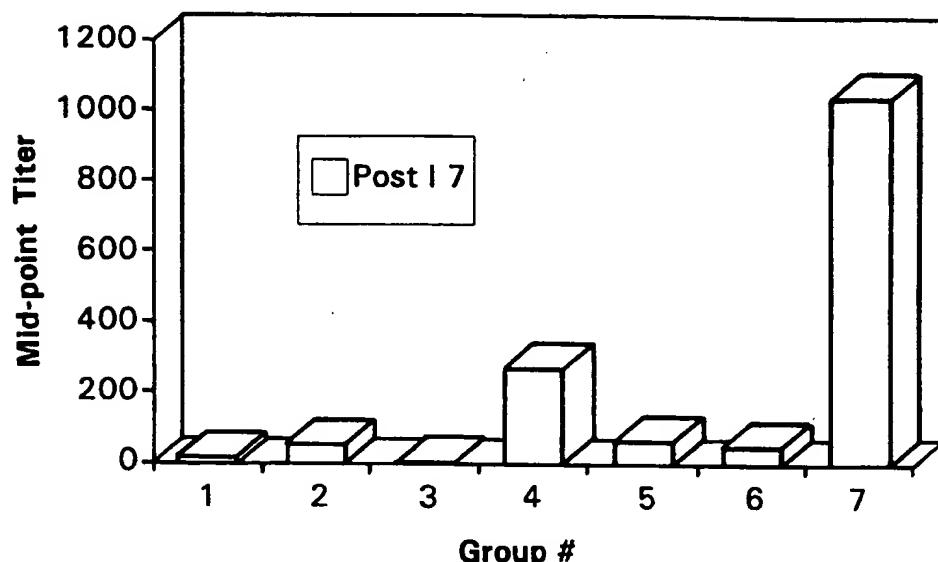
**Fig. 3a**



**Fig. 3b**

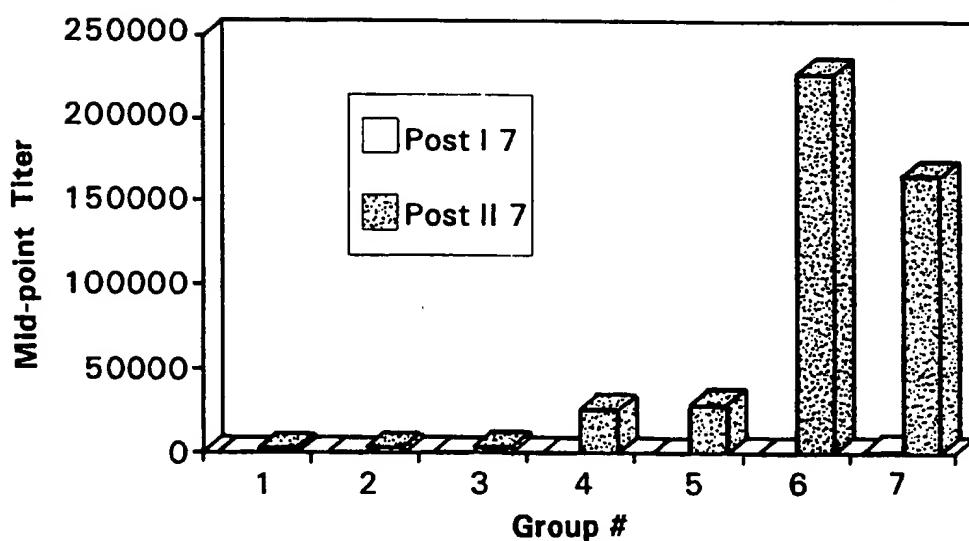
**Anti-OspA Abs titers (IgG2a) after immunization of Balb/C mice  
with different formulations of Lipoprotein OspA**

**anti-OspA Ab titers in the Sandwich assay**



**Fig. 4a**

**anti-OspA Ab titers in the Sandwich assay**



**Fig. 4b**